

Contributor name: _____

Contributor address: _____

Manuscript number: _____

Re: Manuscript entitled _____ (the "Contribution")

for publication in _____ the Journal of the American Water Resources Association _____ (the "Journal")

owned by _____ the American Water Resources Association _____ (the "Owner")

published by _____ Wiley-Blackwell _____ (the "publisher")

Dear Contributor: Thank you for submitting your Contribution for publication. In order to expedite the editing and publishing process and enable the Owner to disseminate your Contribution to the fullest extent, we need to have this Copyright Transfer Agreement executed. If the Contribution is not accepted for publication, or if the Contribution is subsequently rejected, this Agreement shall be null and void. **Publication cannot proceed without a signed copy of this Agreement.**

A. COPYRIGHT

1. The Contributor assigns to the Owner, during the full term of copyright and any extensions or renewals, all copyright in and to the Contribution, and all rights therein, including but not limited to the right to publish, republish, transmit, sell, distribute and otherwise use the Contribution in whole or in part in electronic and print editions of the Journal and in derivative works throughout the world, in all languages and in all media of expression now known or later developed, and to license or permit others to do so.

2. Reproduction, posting, transmission or other distribution or use of the final Contribution in whole or in part in any medium by the Contributor as permitted by this Agreement requires a citation to the Journal suitable in form and content as follows: (Title of Article, Contributor, Journal Title and Volume/Issue, Copyright © [year], copyright owner as specified in the Journal, Publisher). Links to the final article on the publisher website are encouraged where appropriate.

B. RETAINED RIGHTS

Notwithstanding the above, the Contributor or, if applicable, the Contributor's employer, retains all proprietary rights other than copyright, such as patent rights, in any process, procedure or article of manufacture described in the Contribution.

C. PERMITTED USES BY CONTRIBUTOR

1. **Submitted Version.** The Owner licenses back the following rights to the Contributor in the version of the Contribution as originally submitted for publication:

a. The right to self-archive on the Contributor's personal website, place in a not for profit subject-based preprint server or repository, or in the Contributor's company/ institutional repository or archive. This right extends to both intranets and the Internet. The Contributor may not update the submitted version or replace it with the accepted or the published Contribution. The version posted must acknowledge acceptance for publication and, following publication of the final Contribution, contain a legend as follows: This is the pre-peer reviewed version of the following article: FULL CITE, which has been published in final form at [Link to final article]. Contributors are not required to remove preprints posted to not for profit preprint servers prior to submission of the Contribution.

b. The right to transmit, print and share copies with colleagues, provided there is no systematic distribution of the submitted version, e.g. posting on a listserve, network (including scientific social networks) or automated delivery.

2. **Accepted Version.** The Owner licenses back the following rights to the Contributor in the version of the Contribution accepted for publication:

a. The right to self-archive the peer-reviewed (but not final) version of the Contribution on the Contributor's personal website, in the Contributor's company/institutional repository or archive, and in certain not for profit subject-based repositories such as PubMed Central as listed at the following website: <http://olabout.wiley.com/WileyCDA/Section/id-820227.html>, subject to an embargo period of 12 months for scientific, technical and medical (STM) journals and 24 months for social science and humanities (SSH) journals following publication of the final Contribution. There are separate arrangements with certain funding agencies

governing reuse of this version as set forth at the following website: <http://www.wiley.com/go/funderstatement>. The Contributor may not update the accepted version or replace it with the published Contribution. The version posted must contain a legend as follows: This is the accepted version of the following article: FULL CITE, which has been published in final form at [Link to final article].

b. The right to transmit, print and share copies with colleagues, provided there is no systematic distribution of the accepted version, e.g. posting on a listserve, network (including scientific social networks) or automated delivery.

3. **Final Published Version.** The Owner hereby licenses back to the Contributor the following rights with respect to the final published version of the Contribution:

a. Copies for colleagues. The personal right of the Contributor only to send or transmit individual copies of the final published version in any format to colleagues upon their specific request provided no fee is charged, and further provided there is no systematic distribution of the Contribution, e.g. posting on a listserve, network or automated delivery.

b. Re-use in other publications. The right to re-use the final Contribution or parts thereof for any publication authored or edited by the Contributor (excluding journal articles) where such re-used material constitutes less than half of the total material in such publication. In such case, any modifications should be accurately noted.

c. Teaching duties. The right to include the Contribution in teaching or training duties at the Contributor's institution/place of employment including in course packs, e-reserves, presentation at professional conferences, in-house training, or distance learning. The Contribution may not be used in seminars outside of normal teaching obligations (e.g. commercial seminars). Electronic posting of the final published version in connection with teaching/training at the Contributor's company/institution is permitted subject to the implementation of reasonable access control mechanisms, such as user name and password. Posting the final published version on the open Internet is not permitted.

d. Oral presentations. The right to make oral presentations based on the Contribution.

4. **Article Abstracts, Figures, Tables, Data Sets, Artwork and Selected Text (up to 250 words).**

a. Contributors may re-use unmodified abstracts for any non-commercial purpose. For online uses of the abstracts, the Owner encourages but does not require linking back to the final published versions.

b. Contributors may re-use figures, tables, data sets, artwork, and selected text up to 250 words from their Contributions, provided the following conditions are met:

- (i) Full and accurate credit must be given to the Contribution.
- (ii) Modifications to the figures, tables and data must be noted. Otherwise, no changes may be made.
- (iii) The re-use may not be made for direct commercial purposes, or for financial consideration to the Contributor.
- (iv) Nothing herein shall permit dual publication in violation of journal ethical practices.

D. CONTRIBUTIONS OWNED BY EMPLOYER

1. If the Contribution was written by the Contributor in the course of the Contributor's employment (as a "work-made-for-hire" in the course of employment), the Contribution is owned by the company/institution which must execute this Agreement (in addition to the Contributor's signature). In such case, the company/institution hereby assigns to the Owner, during the full term of copyright, all copyright in and to the Contribution for the full term of copyright throughout the world as specified in paragraph A above.

For company/institution-owned work, signatures cannot be collected electronically and so instead please print off this Agreement, ask the appropriate person in your company/institution to sign the Agreement as well as yourself in the space provided below, and e-mail a scanned copy to the Journal production editor. For production editor contact details, please visit the Journal's online author guidelines.

2. In addition to the rights specified as retained in paragraph B above and the rights granted back to the Contributor pursuant to paragraph C above, the Owner hereby grants back, without charge, to such company/institution, its subsidiaries and divisions, the right to make copies of and distribute the final published Contribution internally in print format or electronically on the Company's internal network. Copies so used may not be resold or distributed externally. However, the company/institution may include information and text from the Contribution as part of an information package included with software or other products offered for sale or license or included in patent applications. Posting of the final published Contribution by the company/institution on a public access website may only be done with written permission, and payment of any applicable fee(s). Also, upon payment of the applicable reprint fee, the company/institution may distribute print copies of the published Contribution externally.

E. GOVERNMENT CONTRACTS

In the case of a Contribution prepared under U.S. Government contract or grant, the U.S. Government may reproduce, without charge, all or portions of the Con-

tribution and may authorize others to do so, for official U.S. Government purposes only, if the U.S. Government contract or grant so requires. (U.S. Government, U.K. Government, and other government employees: see notes at end.)

F. COPYRIGHT NOTICE

The Contributor and the company/institution agree that any and all copies of the final published version of the Contribution or any part thereof distributed or posted by them in print or electronic format as permitted herein will include the notice of copyright as stipulated in the Journal and a full citation to the Journal.

G. CONTRIBUTOR'S REPRESENTATIONS

The Contributor represents the Contribution is the Contributor's original work, all individuals identified as Contributors actually contributed to the Contribution, and all individuals who contributed are included. If the Contribution was prepared jointly, the Contributor has informed the co-Contributors of the terms of this Agreement and has obtained their written permission to execute this Agreement on their behalf. The Contribution is submitted only to this Journal and has not been published before. (If excerpts from copyrighted works owned by third parties are included, the Contributor will obtain written permission from the copyright owners for all uses as set forth in the Journal's Instructions for Contributors, and show credit to the sources in the Contribution.) The Contributor also warrants the Contribution contains no libelous or unlawful statements, does not infringe upon the rights (including without limitation the copyright, patent or trademark rights) or the privacy of others, or contain material or instructions that might cause harm or injury. The Contributor further warrants there are no conflicts of interest relating to the Contribution, except as disclosed. You will indemnify and keep indemnified the Editors and the American Water Resources Association against all claims and expenses (including legal costs and expenses) arising from any breach of this warranty and the other warranties on your behalf in this Agreement.

I agree to the COPYRIGHT TRANSFER AGREEMENT as detailed above and have obtained written permission from all other contributors to execute this Agreement on their behalf.

Contributor's signature: _____ Date: _____

SELECT FROM OPTIONS BELOW:

Contributor-owned work

U.S. Government work *Note to U.S. Government Employees*
A contribution prepared by a U.S. federal government employee as part of the employee's official duties, or which is an official U.S. Government publication, is called a "U.S. Government work", and is in the public domain in the United States. In such case, Paragraph A.1 will not apply but the Contributor must sign their name (in the Contributor's signature line) above. Contributor acknowledges the Contribution will be published in the United States and other countries. If the Contribution was not prepared as part of the employee's duties or is not an official U.S. Government publication, it is not a U.S. Government work.

U.K. Government work (Crown Copyright) *Note to U.K. Government Employees*
For Crown Copyright this form should be printed off, signed in the Contributor's signatures section above by the appropriately authorized individual and e-mailed to the Journal production editor. For production editor contact details, please visit the Journal's online author guidelines. *The rights in a contribution prepared by an employee of a U.K. government department, agency or other Crown body as part of their official duties, or which is an official government publication, belong to the Crown. Contributors must ensure they comply with departmental regulations and submit the appropriate authorization to publish. If your status as a government employee legally prevents you from signing this Agreement, please contact the Journal production editor.*

Other *Note to Non-U.S., Non-U.K. Government Employees or Non-Governmental Organization Employees*
Including Other Government work or Non-Governmental Organization work
For Other Government or Non-Governmental Organization work this form should be printed off, signed in the Contributor's signatures section above by the appropriately authorized individual and e-mailed to the Journal production editor. For production editor contact details, please visit the Journal's online author guidelines. *If you are employed by the Department of Veterans Affairs in Australia, the World Bank, the International Monetary Fund, the European Atomic Energy Community, the Jet Propulsion Laboratory at California Institute of Technology, or are a Canadian Government civil servant, please download a copy of the appropriate license agreement from http://authorservices.wiley.com/bauthor/faqs_copyright.asp and return it to the Journal production editor. If your status as a government or non-governmental organization employee legally prevents you from signing this Agreement, please contact the Journal production editor.*

Name of Government/Non-Governmental Organization: _____

Company/institution owned work (made for hire in the course of employment) **For "work made for hire" this form should be printed off, signed and e-mailed to the Journal production editor and include certification of the work-for-hire status.** For production editor contact details, please visit the Journal's online author guidelines. *If you are an employee of Amgen, please download a copy of the company addendum from http://authorservices.wiley.com/bauthor/faqs_copyright.asp and return your signed license agreement along with the addendum.*

Name of Company/Institution: _____

Authorized Signature of Employer: _____ Date: _____

Print Name: _____ Title: _____

Note to Contributors on Deposit of Accepted Version

Funder arrangements

Certain funders, including the NIH, members of the Research Councils UK (RCUK) and Wellcome Trust have specific requirements for the deposit of the Accepted Version in a repository after an embargo period. Details of funding arrangements are set out at the following website: <http://www.wiley.com/go/funderstatement>. Please contact the Journal production editor if you have additional funder requirements.

Unless otherwise specified, the accepted version of the contribution must be self-archived in accordance with [Wiley's Terms and Conditions for Self-Archiving](#).